

**Walter & Keenan Wealth Management, LLC**

**Daniel Wolfson**

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

This brochure supplement provides information about James F. Keenan that supplements the ADV Part2A brochure of Walter & Keenan Wealth Management, LLC. You should have received a copy of that brochure. Please contact James Keenan at 574-287-5977 or at [jamesfkeen@walterandkeen.com](mailto:jamesfkeen@walterandkeen.com), if you did not receive the ADV Part2A brochure of Walter & Keenan Wealth Management, LLC or if you have any questions about the contents of this supplement.

Additional information about Walter & Keenan Wealth Management, LLC is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**July 12, 2021**

## Table of Contents

<b>Educational Background and Business Experience .....</b>	<b>1</b>
<b>Disciplinary Information .....</b>	<b>2</b>
<b>Other Business Activities .....</b>	<b>3</b>
<b>Additional Compensation .....</b>	<b>4</b>
<b>Supervision .....</b>	<b>5</b>

## Educational Background and Business Experience

### **Daniel Wolfson**

Year of Birth: 1982

#### Formal Education after High School:

Indiana University, McKinney School of Law, 2009

Indiana University, Bloomington, Indiana 2005

#### Business Background for the Previous Five Years:

Walter & Keenan Wealth Management, LLC, Investment Advisory Representative, February 2021 to Present.

RSW US Wealth Management, Elkhart, Indiana, Investment Advisory Representative, April 2013 to February 2021.

### **Disciplinary Information**

Daniel W. Wolfson has no disciplinary information to report.

### **Other Business Activities**

Daniel W. Wolfson has an Indiana license for life and health insurance.

### **Additional Compensation**

Daniel W. Wolfson receives no additional compensation from any outside source.

## **Supervision**

Walter & Keenan Wealth Management, LLC is wholly-owned and operated by James F. Keenan. Investment Advisory representatives of Walter & Keenan Wealth Management, LLC are subject to a compliance manual and a code of ethics that impose certain limitations on personal trading activities of the employees of the firm and sets forth other requirements for Wolfson's conduct. Additionally, the firm's compliance manual and code are designed to enforce its policies to prevent the misuse or dissemination of material, non-public information about client account holdings by persons associated with the firm. Furthermore, James F. Keenan, as Chief Compliance Officer, conducts periodic reviews to ensure that client suitability information is accurate and current so as to provide reasonable assurance that the advice provided to clients is consistent with each client's stated investment objectives and the firm's policies and procedures. Daniel W. Wolfson is supervised by James F. Keenan who may be reached at 574-287-8977.